



COPY OF PAPERS  
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0360 \$  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Benvenisty et al.  
Application No.: 09/995,452  
Filed: November 27, 2001  
For: Transfection of Human Embryonic Stem Cells

Group No.:  
Examiner:

Box Missing Part  
Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed December 21, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

SMALL ENTITY STATUS

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

[x] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

[ ] transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Date: February 15, 2002

Harriet Strimpel  
Signature

Harriet M. Strimpel, D. Phil.

(type or print name of person certifying)

III. A statement that this filing is by a small entity will be furnished upon request.

IV. A Statement that "Sequence Listing" and Computer Readable Copy are the Same and/or that Papers Submitted Includes No New Matter is enclosed.

V. An Abstract is enclosed.

#### COMPLETION FEES

##### IV.

##### 1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$370.00
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##### 2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$252.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$324.00
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##### 3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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4. Assignment (See "ASSIGNMENT COVER SHEET")	\$40.00
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Total Completion Fees	\$1051.00
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#### EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### TOTAL FEE DUE

VI. The total fee due is:

Completion fees	\$1051.00
Extension fee (if any)	\$0.00
Total Fee Due	\$1051.00

#### PAYMENT OF FEES

VII. Enclosed is a check in the amount of \$1051.00.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

#### AUTHORIZATION TO CHARGE ADDITIONAL FEES

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 19-4972.

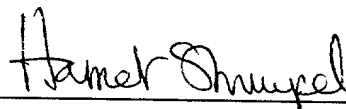
37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

Date: February 15, 2002



Harriet M. Strimpel, D. Phil.  
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Boston, MA 02110-1618  
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617-443-9292  
Customer No. 02101



02101  
PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Benvenisty et al.

Application No.: 09/995,452

Filed: 11/27/2001

For: Transfection of Human Embryonic Stem Cells

Group No.:

Examiner:

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION  
mailed on December 21, 2002 attached please find:

- (a) the formal drawing(s) for this application.  
Number of Sheets: 10

Each sheet of drawing indicates the identifying indicia suggested in Section 1.84(c)  
on the reverse side of the drawing.

- (b) a copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION.

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CERTIFICATE OF MAILING (37 C.F.R. section 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date: February 15, 2002

Harriet M. Strimpel, D. Phil.

(type or print name of person mailing paper)

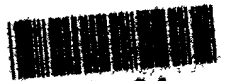


Signature of person mailing paper

Date: February 15, 2002

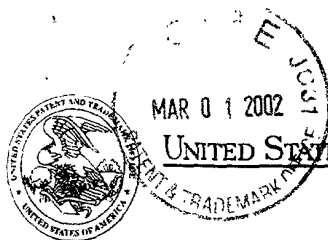
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02101

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	DOCKETED	ATTORNEY DOCKET NUMBER
09/995,452	11/27/2001	Nissim Benvenisty	1822/117

02101  
BROMBERG & SUNSTEIN LLP  
125 SUMMER STREET  
BOSTON, MA 02110-1618**RECEIVED**

DEC 3 1 2001

**BROMBERG & SUNSTEIN**

CONFIRMATION NO. 2188

FORMALITIES LETTER



\*OC000000007227477\*

Date Mailed: 12/21/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$576.
  - \$324 for 36 total claims over 20.
  - \$252 for 6 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter
- The balance due by applicant is \$ 1011.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

03/08/2002 NBERME 00000035 09995452

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	324.00 OP
04 FC:202	252.00 OP

NOTED 2545660

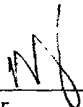
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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